

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Ulrich Fotheringham et al.  
Serial No.: 09/936,916

Filed:  
Title: METHOD AND DEVICE FOR THE  
HOMOGENOUS HEATING OF GLASS AND/OR  
GLASS-CERAMIC ARTICLES USING  
INFRARED RADIATION

Group:  
Examiner:

International Application No.  
PCT/EP00/02507  
Filed: March 22, 2000

ATTENTION: APPLICATION PROCESSING DIVISION  
SPECIAL PROCESSING AND CORRESPONDENCE BRANCH

SUBMISSION OF EXECUTED DECLARATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notification of Missing Requirements mailed October 26, 2001, enclosed herewith is the signed and dated Declaration in the above-identified application together with a copy of PTO Missing Requirements Form.

Submitted herewith is a check in the amount of \$130.00, to cover the late Declaration surcharge. If the enclosed remittance is insufficient, the Commissioner is authorized to charge payment of the following fees during pendency of this application or credit any overpayment to Deposit Account No. 02-0385 BAKER & DANIELS:

1. Any additional fees required under 37 CFR 1.16.
2. Any patent application processing fees under 37 CFR 1.17
3. Any filing fees under 37 CFR 1.16 for presentation of extra claims

It is submitted that with the filing of the above documents, the application is complete and may be submitted for examination by the Patent and Trademark Office.

Respectfully submitted,

John F. Hoffman  
Registration No. 26,280  
Attorney for Applicants

11/30/2001 UEDUVIJE 00000128 09936916

01 FC:154

130.00 OP

JFH:pmp

BAKER & DANIELS  
111 EAST WAYNE STREET, SUITE 800  
FORT WAYNE, IN 46802  
TELEPHONE: 219-424-8000  
FACSIMILE: 219-460-1700

Enc.

Declaration  
Notification of Missing Requirements Form  
Return Postcard


"EXPRESS MAIL" MAILING LABEL NUMBER EL731284045US

DATE OF DEPOSIT November 26, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, P.O. BOX 2327, ARLINGTON, VA 22202.

Patrice Michele Potter  
(Typed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

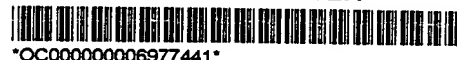
 <small>Commissioner for Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
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U.S. APPLICATION NUMBER NO. 09/936,916	FIRST NAMED APPLICANT Ulrich Fotheringham	ATTY. DOCKET NO. WEI0027
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John F Hollman  
Baker & Daneils  
111 East Wayne Street Suite 800  
Fort Wayne, IN 46802

INTERNATIONAL APPLICATION NO. PCT/EP00/02507	
I.A. FILING DATE 03/22/2000	PRIORITY DATE 03/23/1999

CONFIRMATION NO. 2889  
371 FORMALITIES LETTER



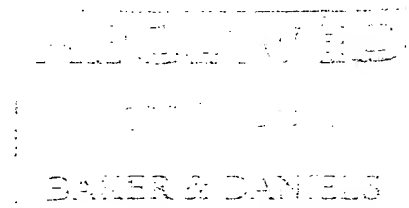
\*OC00000006977441\*

Date Mailed: 10/26/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination



REPLY DUE: Dec 1.

Dec. 26, 2001  
DOCKET 1 ☒ DOCKET 2 ☒

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL**

**RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,916	PCT/EP00/02507	WEI0027

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/936,916
Filing Date	
First Named Inventor	Ulrich Fotheringham et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	WEI0027

**TOTAL AMOUNT OF PAYMENT****\$130.00****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-0385

Deposit  
Account  
Name

BAKER &amp; DANIELS

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR §§ 1.16 and 1.17

- ☐
- Applicant claims small entity status.
- 
- See 37 CFR § 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Fee Paid

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1)

**2. EXTRA CLAIM FEES**

Extra Claims		Fee from below	Fee Paid
Total Claims	-20** = 0	X	= 0.00
Independent Claims	-3** = 0	X	= 0.00
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\* Reissue independent claims  
over original patent110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent

SUBTOTAL (2)

\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description Fee Paid

105 130 205 65 Surcharge - late filing fee or oath 130.00

127 50 227 25 Surcharge - late provisional filing fee or cover  
sheet

139 130 139 130 Non - English specification

147 2,520 147 2,520 For filing a request for *ex parte* reexamination112 920\* 112 920\* Requesting publication of SIR prior to Examiner  
action113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner  
action

115 110 215 55 Extension for reply within first month

116 400 216 200 Extension for reply within second month

117 920 217 460 Extension for reply within third month

118 1,440 218 720 Extension for reply within fourth month

128 1,960 228 980 Extension for reply within fifth month

119 320 219 160 Notice of Appeal

120 320 220 160 Filing a brief in support of an appeal

121 280 221 140 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,280 241 640 Petition to revive - unintentional

142 1,280 242 640 Utility issue fee (or reissue)

143 460 243 230 Design issue fee

144 620 244 310 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Processing fee under 37 CFR § 1.17(q)

126 180 126 180 Submission of Information Disclosure  
Statement581 40 581 40 Recording each patent assignment per property  
(times number of properties)146 740 246 370 Filing a submission after final rejection  
(37 CFR § 1.129(a))149 740 249 370 For each additional invention to be examined  
(37 CFR § 1.129(b))

179 740 279 370 Request for Continued Examination (RCE)

169 900 169 900 Request for expedited examination  
of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$130.00

**SUBMITTED BY**

Name (Print/Type)

John F. Hoffman

Registration No.  
(Attorney/Agent)

26,280

**Complete (if applicable)**

Telephone

219-424-8000

Signature

Date

Nov. 26, 2001

**WARNING: Information on this form may become public. Credit card information should  
not be included on this form. Provide credit card information and authorization on**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.